

Congestive Heart Failure

Benefit of exercise therapy for systolic heart failure in relation to disease severity and etiology—findings from the Heart Failure and A Controlled Trial Investigating Outcomes of Exercise Training study

David J. Whellan, MD, MHS,^a Anil Nigam, MD,^b Malcolm Arnold, MD,^c Aijing Z. Starr, MS,^d James Hill, MD, MS,^e Gerald Fletcher, MD,^f Stephen J. Ellis, PhD,^d Lawton Cooper, MD,^g Anekwe Onwuanyi, MD,^h Bleakley Chandler, MD,ⁱ Steven J. Keteyian, PhD,^j Greg Ewald, MD,^k Andrew Kao, MD,^l and Mihai Gheorghiade, MD^m Philadelphia, PA; Quebec, and Ontario, Canada; Durham, NC; Gainesville, and Jacksonville, FL; Bethesda, MD; Atlanta, and Augusta, GA; Detroit, MI; St Louis, and Kansas City, MO; and Chicago, IL

Background This post hoc analysis of the HF-ACTION cohort explores the primary and secondary results of the HF-ACTION study by etiology and severity of illness.

Methods HF-ACTION randomized stable outpatients with reduced left ventricular (LV) function and heart failure (HF) symptoms to either supervised exercise training plus usual care or to usual care alone. The primary outcome was all-cause mortality or all-cause hospitalization; secondary outcomes included all-cause mortality, cardiovascular mortality or cardiovascular hospitalization, and cardiovascular mortality or HF hospitalization. The interaction between treatment and risk variable, etiology or severity as determined by risk score, New York Heart Association class, and duration of cardiopulmonary exercise test was examined in a Cox proportional hazards model for all clinical end points.

Results There was no interaction between etiology and treatment for the primary outcome ($P = .73$), cardiovascular (CV) mortality or CV hospitalization ($P = .59$), or CV mortality or HF hospitalization ($P = .07$). There was a significant interaction between etiology and treatment for the outcome of mortality ($P = .03$), but the interaction was no longer significant when adjusted for HF-ACTION adjustment model predictors ($P = .08$). There was no significant interaction between treatment effect and severity, except a significant interaction between cardiopulmonary exercise duration and training was identified for the primary outcome of all-cause mortality or all-cause hospitalization.

Conclusion Consideration of symptomatic (New York Heart Association classes II to IV) patients with HF with reduced LV function for participation in an exercise training program should be made independent of the cause of HF or the severity of the symptoms. (Am Heart J 2011;162:1003-10.)

Heart failure (HF) with reduced left ventricular (LV) function affects a large patient population that is characterized by heterogeneity.¹ Characteristics such as etiology (ischemic vs nonischemic), exercise tolerance, and severity (ie, New York Heart Association [NYHA] class) of illness are independent risk factors for out-

comes.²⁻⁴ Therapies for patients with HF will occasionally target certain subgroups of patients based on the mechanism of the therapy and/or the differential risk within the subgroups. For example, the implantable cardioverter defibrillator was initially evaluated in subjects with ischemic cardiomyopathy due to the higher

From the ^aJefferson University College of Medicine, Philadelphia, PA, ^bMontreal Heart Institute, Montreal, Quebec, Canada, ^cUniversity of Western Ontario, London, Ontario, Canada, ^dDuke Clinical Research Institute, Durham, NC, ^eUniversity of Florida College of Medicine, Gainesville, FL, ^fMayo Clinic, Jacksonville, FL, ^gNational Heart Lung and Blood Institute, Bethesda, MD, ^hMorehouse School of Medicine, Atlanta, GA, ⁱUniversity Hospital, Augusta, GA, ^jHenry Ford Hospital, Detroit, MI, ^kWashington University School of Medicine, St Louis, MO, ^lUniversity of Missouri-Kansas City, St Luke's Mid America Heart and Vascular Institute, Kansas City, MO, and ^mNorthwestern University Feinberg School of Medicine, Chicago, IL.

Grant support: HF-ACTION is funded by the National Heart, Lung, and Blood Institute; grant numbers 5U01-HL063747, 5U01-HL066461, HL068973, HL068973, HL066501,

HL066482, HL064250, HL066494, HL064257, HL066497, HL068980, HL064265, HL066491, and HL064264.

HF-ACTION is registered at www.clinicaltrials.gov, study number NCT00047437.

Javed Butler, MD, MPH served as guest editor for this article.

Submitted July 7, 2011; accepted September 20, 2011.

Reprint requests: David J. Whellan, MD, MHS, Thomas Jefferson University, 1015 Chestnut St, Suite 317, Philadelphia, PA 19107.

E-mail: david.whellan@jefferson.edu

0002-8703/\$ - see front matter

© 2011, Mosby, Inc. All rights reserved.

doi:10.1016/j.ahj.2011.09.017

risk of ventricular arrhythmias and sudden cardiac death in this subgroup.⁵ Implantable cardioverter defibrillator indications did expand to include nonischemic patients when larger populations were evaluated and the therapy was identified to have a greater impact in NYHA class II patients versus class III patients.⁶

HF-ACTION was a randomized controlled trial evaluating an exercise training (ET) program plus usual care versus usual care (UC) alone in HF subjects with reduced LV function.⁷ The HF-ACTION study stratified randomization by etiology based on studies showing a differential effect of ET in patients with HF by etiology and evidence demonstrating the clinical benefit of ET in patients with coronary artery disease (CAD).⁸⁻¹⁰ Subsequent to the initiation of the study, a meta-analysis of ET trials enrolling patients with HF with reduced LV function identified a nonsignificant differential response by etiology (hazard ratio [HR] [95% CI] 0.54 [0.35-0.83] vs 0.93 [0.52-1.68], ischemic vs nonischemic, $P = .10$ for the interaction).¹¹

HF-ACTION identified a modest benefit of ET on the primary outcome of all-cause mortality or all-cause hospitalization after adjusting for 4 significant predictors of the outcome.¹² One of these independent predictors was exercise duration during the cardiopulmonary exercise (CPX) test, an indicator of HF severity. There was a nonsignificant difference in the primary outcome for participants based on NYHA class (HR [95% CI], NYHA II 0.95 [0.83-1.08], NYHA III/IV 0.85 [0.73-1.00], P value for interaction = .27). In addition, there was no difference in HR between ischemic and nonischemic etiology (HR [95% CI], ischemic 0.94 [0.82-1.08], nonischemic 0.91 [0.78-1.05], P value for interaction = .73).

The current post hoc analysis of the HF-ACTION cohort further explores the primary and secondary results of the HF-ACTION study by etiology and severity of illness. We hypothesized that differences in the primary outcome and key secondary outcomes, particularly disease-specific outcomes such as HF hospitalization, would exist between subgroups when stratified by etiology or severity of illness.

Methods

A complete description of the study design and ET protocol has been published previously.⁷ In brief, HF-ACTION was a multicenter, randomized controlled trial designed to test the long-term safety and efficacy of aerobic ET plus evidence-based medical therapy versus UC with evidence-based medical therapy alone in medically stable outpatients with LV dysfunction (ejection fraction $\leq 35\%$) and NYHA classes II to IV HF. The relevant institutional review boards, research ethics boards, and ethics committees of the participating centers approved the study, and the coordinating center approved the protocol. An independent data safety monitoring board appointed by the trial sponsor, the National Heart, Lung, and Blood Institute, reviewed the protocol. All participants signed informed con-

sent. The study and this analysis were funded by the National Heart, Lung, and Blood Institute.

Two thousand three hundred thirty-one patients were randomized into the study between April 2003 and February 2007. Blocked randomization was 1:1 and was stratified by etiology of HF (ischemic vs nonischemic). Before randomization, all patients consenting to participate in the study were to undergo a symptom-limited CPX test using a modified Naughton treadmill protocol or a stationary cycle ergometer protocol.⁷ Echocardiograms were obtained within 30 days before randomization and were read by a core laboratory. A 6-minute walk test and Kansas City Cardiomyopathy Questionnaire (KCCQ) data were also obtained.

The primary outcome for this analysis was the primary outcome of the HF-ACTION trial, a composite of all-cause mortality, or all-cause hospitalization. Secondary outcomes included all-cause mortality, the composite of cardiovascular mortality or cardiovascular hospitalization, and the composite of cardiovascular mortality or HF hospitalization. Although blinding for patients and investigators was not possible, an end points committee blinded to treatment assignment reviewed all deaths and many cardiovascular hospitalizations. After the first adjudicated HF hospitalization, hospitalizations were no longer adjudicated by the end points committee, and the type of hospitalization was based on the assignment by the site investigator. For patients lost to follow-up, searches of the Social Security Death Index and the National Death Index were performed to assess if patients had died during the follow-up period.

Patients were classified as ischemic or nonischemic at the time of enrollment. *Ischemic etiology* was defined as the presence of at least 1 of the 4 following criteria: (1) angiographic evidence of $\geq 75\%$ lesion in ≥ 1 of the 3 major epicardial vessels, (2) history of myocardial infarction, (3) history of revascularization procedure, and (4) evidence of a significant perfusion defect in the setting of ischemic symptoms.

Heart failure severity was defined using NYHA classification and a risk score derived from a model for the primary outcome using HF-ACTION baseline data.¹³ The primary analysis evaluating the training effect by HF severity used predicted risk. Variables identified as significant predictors of the primary outcome were Weber Class (categorized peak oxygen consumption [VO_2]), symptom stability as assessed by the KCCQ, blood urea nitrogen, patient from the United States versus not from the United States, LV ejection fraction (LVEF), sex, β -blocker dose, mitral regurgitation grade, and ventricular conduction before the baseline CPX. Because there were fewer missing data for exercise duration than peak oxygen consumption and because exercise duration during the CPX test was also a strong predictor of the primary outcome, a secondary analysis using CPX duration as a surrogate for disease severity was performed.

This analysis used complete cases. For the severity model using the severity score, patients with missing values (KCCQ symptom stability score $n = 14$, mitral regurgitation $n = 196$, rest electrocardiogram ventricular conduction $n = 60$, Weber Class $n = 56$, LVEF $n = 4$, blood urea nitrogen $n = 303$, baseline β -blocker dose $n = 20$, total $n = 576$) were excluded from the analysis. For the severity model using NYHA class, no patients were excluded; 22 patients were excluded in the severity model using CPX duration. Baseline variables were examined among

Table I. Baseline characteristics according to ischemic or nonischemic etiology

		Ischemic	Nonischemic	P*
Age (y)	n	1197	1134	<.01, NP
	Median (Q1, Q3)	63 (56, 71)	55 (46, 63)	
Sex	Female, n (%)	209 (17)	452 (40)	<.01
Race	Black or African American, n (%)	243 (21)	506 (46)	<.01
	White, n (%)	867 (73)	559 (50)	
	Other, n (%)	75 (6)	46 (4)	
NYHA HF class	II, n (%)	731 (61)	746 (66)	.02
	III/IV, n (%)	466 (39)	388 (34)	
Peak VO ₂ (mL/kg per min)	n	1165	1110	<.01, NP
	Median (Q1, Q3)	14.0 (11.1, 17.2)	15.1 (12.0, 18.1)	
CPX duration (min)	n	1189	1120	<.01, NP
	Median (Q1, Q3)	9.1 (6.6, 11.7)	10.0 (7.1, 12.5)	
Serum creatinine (mg/dL)	n	1088	1003	<.01, NP
	Median (Q1, Q3)	1.3 (1.0, 1.6)	1.1 (0.9, 1.4)	
Region	Canada, n (%)	113 (9)	75 (7)	.03
	France, n (%)	41 (3)	34 (3)	
	United States, n (%)	1043 (87)	1025 (90)	
LVEF (%)	n	1196	1131	.31, NP
	Median (Q1, Q3)	25 (20, 30)	25 (20, 30)	
Beta-blockers dose (mg/d carvedilol equivalent)	n	1189	1122	<.01, NP
	Median (Q1, Q3)	25 (13, 50)	50 (19, 50)	
Mitral regurgitation	Nonsevere/none, [*] n (%)	975 (90)	904 (86)	.03
	Severe, [†] n (%)	114 (10)	142 (14)	
Ventricular conduction	Normal, n (%)	458 (39)	521 (47)	<.01
	LBBB, n (%)	158 (14)	221 (20)	
	RBBB, n (%)	64 (6)	21 (2)	
	IVCD, n (%)	169 (15)	123 (11)	
	Paced, n (%)	313 (27)	223 (20)	
BMI (kg/m ²)	n	1193	1131	<.01, NP
	Median (Q1, Q3)	29 (26, 33)	31 (26, 37)	
Loop (diuretic) dose (mg/d furosemide equivalent)	n	1184	1114	.87, NP
CCS angina class	Median (Q1, Q3)	40 (20, 80)	40 (20, 80)	
	No angina, n (%)	919 (77)	1031 (91)	<.01
	I, n (%)	136 (11)	64 (6)	
	II-IV, n (%)	139 (12)	39 (3)	
6-min walk distance (m)	n	1178	1102	<.01, NP
	Median (Q1, Q3)	365 (290, 427)	380 (305, 442)	
KCCQ overall score	n	1197	1133	.04, NP
	Median (Q1, Q3)	69 (52, 84)	67 (50, 82)	

LBBB, Left bundle-branch block; RBBB, right bundle-branch block; BMI, body mass index; IVCD, intraventricular conduction delay; CCS, Canadian Cardiovascular Society.

*A combination of these original categories: none, trivial, mild, mild to moderate, and moderate.

†A combination of the original categories severe and moderate to severe.

different risk groups, by NYHA class and by etiology. *P* values for continuous variables were obtained using the analysis of variance F test; when the assumption of normality was not satisfied, the Kruskal-Wallis test (nonparametric [NP]) was used. For categorical variables, the χ^2 test was used where appropriate.

To determine the impact of treatment (ET vs UC) on clinical outcomes among different etiology or severity groups, the interaction between treatment and risk variable was examined using a Cox proportional hazards model (unadjusted) for all clinical outcomes. The treatment-etiology interaction was also examined in a model adjusted for the variables in the severity model listed above. For a given outcome, if the interaction was statistically significant, the HR for the 2 treatment groups was presented for all risk groups (categorized based on HF severity or etiology). If no interactions in secondary outcome models were statistically significant, only the event rate of the primary

outcome was presented by etiology or severity and treatment. Kaplan-Meier event rates at 2 years were examined by etiology and by severity group.

Results

Of the 2,331 patients enrolled in HF-ACTION, 1,755 patients were included in the analysis using the HF-ACTION adjustment risk model. The median follow-up was 31.6 months. During this time, 1,195 subjects experienced death or hospitalization, the primary outcome. Baseline characteristics by etiology are shown in Table I. Subjects with ischemic etiology were older and more likely to be male and white. Patients with ischemic etiology tended to have more severe disease as identified

Table II. Baseline characteristics by HF severity based on predictive risk

	HF severity quartile				
	Q1 (n = 439)	Q2 (n = 439)	Q3 (n = 439)	Q4 (n = 438)	P
Age (y)	54 (46, 61)	59 (51, 68)	62 (54, 70)	64 (55, 74)	<.01, NP
Sex, female (%)	125/439 (28)	150/439 (34)	122/439 (28)	98/438 (22)	<.01
Race					
Black or African American, n (%)	110/429 (26)	136/431 (32)	170/434 (39)	170/433 (39)	<.01
White, n (%)	295/429 (69)	274/431 (64)	247/434 (57)	243/433 (56)	
Other, n (%)	24/429 (6)	21/431 (5)	17/434 (4)	20/433 (5)	
BMI (kg/m ²)	30 (26, 34)	30 (26, 35)	30 (26, 36)	30 (26, 35)	.07, NP
NYHA HF class III/IV (%)	78/439 (18)	109/439 (25)	189/439 (43)	253/438 (58)	<.01
HF etiology, ischemic (%)	176/439 (40)	214/439 (49)	249/439 (57)	259/438 (59)	<.01
LVEF	28 (23, 33)	25 (21, 31)	24 (19, 28)	22 (18, 27)	<.01, NP
Mitral regurgitation severe (%)	9/439 (2)	30/439 (7)	44/439 (10)	129/438 (29)	<.01
Ventricular conduction, NI/LBBB/RBBB/IVCD/paced (%)	285/86/4/28/36 (65/20/1/6/8)	199/75/17/67/81 (45/17/4/15/18)	154/72/16/68/129 (35/16/4/15/29)	106/54/32/76/170 (24/12/7/17/39)	<.01
Creatinine (mg/dL)	1.0 (0.9, 1.2)	1.1 (0.9, 1.4)	1.2 (1.0, 1.5)	1.4 (1.1, 1.8)	<.01, NP
BB dose (mg/d carvedilol equivalent)	50 (25, 50)	38 (16, 50)	25 (13, 50)	25 (13, 50)	<.01, NP
Loop (diuretic) dose (mg/d furosemide equivalent)	20 (0, 40)	40 (20, 80)	40 (20, 80)	80 (40, 120)	<.01, NP
6-min walk distance (m)	427 (374, 481)	388 (326, 440)	341 (274, 404)	305 (239, 373)	<.01
CPX duration (min)	13.0 (11.0, 15.2)	10.3 (8.3, 12.2)	8.4 (6.2, 10.2)	7.0 (5.0, 8.8)	<.01, NP
Peak VO ₂ (mL/kg per min)	19.1 (17.2, 22.0)	15.4 (12.9, 17.8)	13.2 (11.2, 15.1)	10.6 (8.9, 13.0)	<.01, NP
KCCQ overall score	76 (60, 88)	71 (55, 85)	66 (50, 82)	59 (43, 76)	<.01, NP

by a higher percentage with NYHA class III/IV, lower peak VO₂, CPX duration, and 6-minute walk time.

Baseline characteristics by HF severity quartile are presented in Table II, with quartile 1 having the lowest risk. Increasing severity was significantly associated with increasing age, diuretic dosing, and percentage of subjects with NYHA class III/IV symptoms; ischemic etiology; severe mitral regurgitation; and decreasing 6-minute walk distance, peak VO₂, CPX duration, and KCCQ score. In addition, patients identified as having higher HF severity had a lower prevalence of normal ventricular conduction and a higher prevalence of paced rhythm.

Concerning safety, in the ET group and the UC group, 37 patients (3.2%) and 22 patients (1.9%), respectively, had at least 1 hospitalization due to an event that occurred during or within 3 hours after exercise—these numbers were not significantly different across the quartiles for the HF severity score. The numbers of patients with events during or within 3 hours of exercise between UC and ET groups are 13 versus 23 and 9 versus 14 for ischemic and nonischemic patients, respectively. The numbers of patients with events in the UC group

versus the ET group, respectively, are 3 versus 8, 1 versus 6, 5 versus 4, and 7 versus 9 among the HF severity quartiles, when ordered from lowest to highest severity.

Patients with ischemic and nonischemic etiology randomized to ET had a decrease in the primary outcome versus those randomized to UC, although the decrease in the ischemic subgroup (1.3%) was not as great as the decrease within the nonischemic subgroup (3.8%) (Table III). For nonischemic compared with ischemic patients, a similar pattern of a larger decrease in the event rate in the ET group compared with the UC group was seen for the secondary outcomes of CV mortality or CV hospitalization and CV mortality or HF hospitalization. Using the overall Cox model results (all events in the entire follow-up), there was no interaction between etiology and treatment for the primary outcome ($P = .73$), CV mortality or CV hospitalization ($P = .59$), or CV mortality or HF hospitalization ($P = .07$).

When looking at the secondary outcome of mortality, there was an increase in the 2-year event rate for ischemic patients in the ET compared with UC, whereas a decrease in the event rate was seen for nonischemic patients

Table III. Primary and secondary outcome Kaplan-Meier rates at 2 years by treatment and etiology (ischemic/nonischemic) group

				P value for interaction between etiology and treatment	
		Ischemic	Nonischemic	Unadjusted	Adjusted
All-cause mortality or hospitalization	Overall	65.0	55.6	.73	.71
	Usual care	65.6	57.5		
	Exercise	64.3	53.7		
All-cause mortality	Overall	13.7	10.4	.03	.08
	Usual care	13.4	12.2		
	Exercise	14.1	8.5		
CV mortality or CV hospitalization	Overall	54.8	45.3	.59	.64
	Usual care	55.6	47.5		
	Exercise	54.0	43.1		
CV mortality or HF hospitalization	Overall	29.0	24.0	.07	.48
	Usual care	29.1	26.9		
	Exercise	28.9	21.0		

randomized to ET versus UC. There was a significant interaction between etiology and treatment for the outcome of mortality ($P = .03$). Participants with a nonischemic etiology of their HF had a 43% reduction in risk of death in the ET group as compared with UC (HR 0.57, 95% CI 0.42-0.76), whereas the effect of the treatment in participants with ischemic etiology was not statistically significant (HR 0.89, 95% CI 0.67-1.17). The difference of the treatment effect between the ischemic and nonischemic patients was no longer statistically significant when adjusted for HF-ACTION risk model predictors ($P = .08$).

The impact of treatment (ET versus UC) on clinical outcomes by HF severity was evaluated using the 3 criteria for severity: risk score, NYHA class, and CPX duration. In the primary analysis of subjects by risk score as a continuous variable, the interaction terms that would identify a difference in response to treatment were not statistically significant for the primary outcome or the specified secondary outcomes (Table IVa). The Kaplan Meier rates over 2 years by quartile of risk are provided in Figure 1. The evaluation by NYHA class for the clinical outcomes did not identify a significant interaction that would suggest a differential response (Table IVb).

When severity was evaluated as duration on the baseline CPX test, we observed a significant interaction between CPX duration and treatment for the primary outcome (Table IVc). Median exercise duration was 9.6 minutes (6.9-12.0 minutes). The Kaplan-Meier rate for 2 years identified no difference between ET and UC for subjects with CPX duration equal to or greater than the median duration (48.1% vs 48.2%, ET vs UC, respectively). For subjects with CPX duration less than the median, there was a reduction in the rate of primary outcome, all-cause mortality, or all-cause hospitalization in the ET cohort (70.3% vs 75.4%, ET vs UC). The unadjusted

HR comparing ET versus UC for subjects with a CPX duration ≥ 9.6 minutes was 1.02 (0.88-1.19), whereas the unadjusted HR for HF-ACTION subjects with a baseline CPX test duration of <9.6 minutes was 0.82 (95% CI, 0.72-0.94).

Discussion

Given the large number of patients enrolled in the trial, HF-ACTION provides a unique opportunity to evaluate potential differential effects of training on subgroups. The current study evaluated the safety and efficacy of an ET intervention by 2 patient characteristics, etiology of HF (ischemic versus nonischemic) and disease severity, which are commonly used when looking for differential effects of a treatment within a study's overall cohort. We showed that exercise was safe regardless of etiology of illness or severity of illness. We also showed that the response to ET was, for the most part, not significantly different for HF score, NYHA class, or etiology of illness. However, there was a significant interaction between etiology and training for the secondary end point of mortality and a significant interaction between CPX duration and training for the primary outcome of all-cause mortality or all-cause hospitalization.

The lack of interaction between etiology and ET for the primary outcome was unexpected. A meta-analysis of exercise-based rehabilitation clinical trials in patients with CAD identified a significant benefit of ET on all-cause mortality (odds ratio 0.80, 95% CI 0.68-0.93) and total cardiac mortality (odds ratio 0.74, 95% CI 0.61-0.96).¹⁴ The historical use of cardiac rehabilitation in patients with CAD led the HF-ACTION investigators to choose etiology as the only patient characteristic on which to stratify the randomization. However, as shown by this post hoc analysis of the HF-ACTION data, the modest benefit of ET did not significantly differ by etiology for the

Table IV**A. Impact of treatment on different outcomes by risk**

Event	Treatment (UC vs ET)	Risk	Interaction P values
All-cause mortality or all-cause hospitalization (primary end point)	0.41	<0.01	.54
Cardiovascular mortality or CV hospitalization	0.20	<0.01	.69
Cardiovascular mortality or HF hospitalization	0.06	<0.01	.50
All-cause mortality	0.92	<0.01	.63

B: Impact of treatment on different outcomes by NYHA class

Event	Treatment (UC vs ET)	NYHA Class (III/IV vs II)	Interaction
All-cause mortality or all-cause hospitalization (primary end point)	0.42	<0.01	0.29
Cardiovascular mortality or CV hospitalization	0.33	<0.01	0.52
Cardiovascular mortality or HF hospitalization	0.32	<0.01	0.37
All-cause mortality	0.73	<0.01	0.27

C. Impact of treatment on different outcomes by CPX duration

Event	Treatment (UC vs ET)	CPX duration	Interaction
All-cause mortality or all-cause hospitalization (primary end point)	<0.01	<0.01	0.03
Cardiovascular mortality or CV hospitalization	0.20	<0.01	0.50
Cardiovascular mortality or HF hospitalization	0.38	<0.01	0.94
All-cause mortality	0.55	<0.01	0.62

primary outcome or 2 of the secondary outcomes of CV mortality or CV hospitalization and CV mortality or HF hospitalization. Although the current analysis did identify a significant interaction between etiology and training for the secondary outcome of mortality in the unadjusted analysis, this finding should be seen more as confirmation of the ExTraMATCH analysis showing no significant interaction between ET and etiology because the adjusted analysis of the current study did not reach significance and no other significant difference was identified for other end points.¹¹

The one exception to the findings on severity was the interaction between CPX duration and ET for the outcome of all-cause mortality or all-cause hospitalization. Cardiopulmonary exercise duration on the baseline test identified a subgroup of subjects defined by

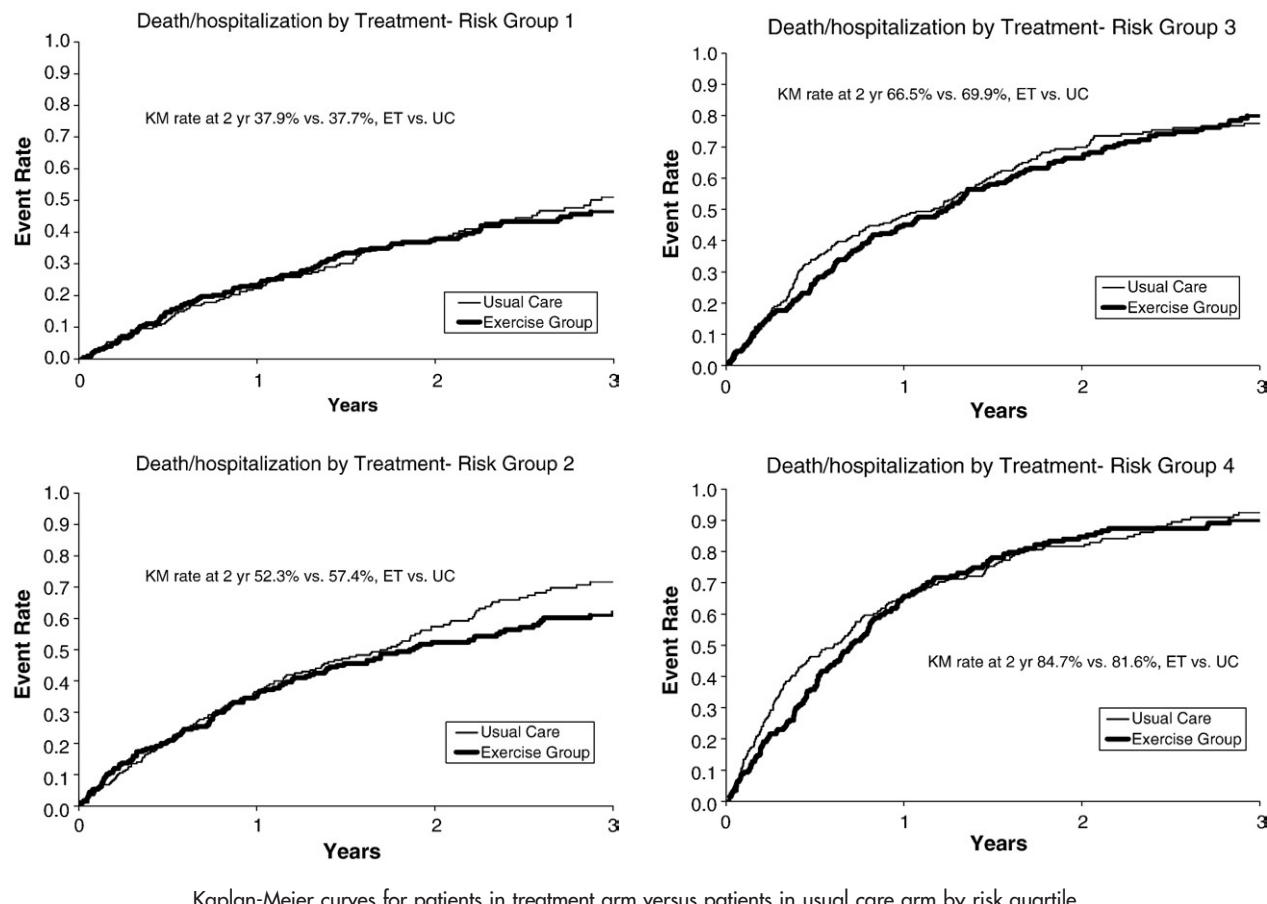
performing the test less than the median time of 9.6 minutes who responded more favorably to ET than did subjects with a CPX duration equal to or greater than the median CPX duration. Although these results should be evaluated in light of our other findings concerning severity, they do suggest that exercise duration during CPX testing at baseline may provide a means to identify a group with a higher likelihood of benefiting from participating in a supervised training program. As a direct measurement of physical function or cardiorespiratory reserve, exercise duration measured during a CPX test may be a better method in the clinic setting to identify patients more likely to favorably respond to regular ET. It is important to recognize that the duration is derived from cardiopulmonary tests and not a traditional treadmill test, and there are no data to suggest that these 2 are equivalent.

Concerning the overall safety of patients with HF participating in a supervised training program, it is reassuring to observe that, in patients with a greater severity score and, thus, more likely to be at risk for adverse events from ET, the trend was a reduction in clinical events and not an increase. The lack of interaction between etiology and training effect also allays concerns about an increase in adverse events. In previous studies, exercise was identified as causing a short-term increased risk of myocardial infarction (MI) and sudden death, possibly due to platelet activation, myocardial ischemia, tachyarrhythmias, or coronary artery sheer stress.¹⁵⁻¹⁹ Compared with habitual exercisers, patients who initiated exercise after being habitually sedentary were at a 100-fold increased risk for MI and 50-fold increased risk for sudden death.

Limitations

The current study is a post hoc analysis of a prospective randomized trial, and there may be inherent biases created by the inclusion and exclusion criteria used for the study and the type of HF patients that agreed to participate. Although the definitions for ischemia used for the study are standard, there was no evaluation for ischemia performed at the time of enrollment, which may have caused patients to be misclassified in terms of etiology. In a similar fashion, the categorization based on HF severity could have included other means, but the general consistency of the findings for the 3 definitions used (predictive risk, NYHA class, and CPX duration) provides some assurance that our finding of a lack of an interaction between severity and training effect is correct. In addition, the consistent findings using other definitions of disease severity provide some reassurance of the results using the severity model despite the large number of patients ($n = 576$) excluded from these analyses. Although findings by etiology and risk quartile are presented in the current study, outcomes within

Figure 1



subgroups should not be considered conclusive given that the current analysis was not prospective in nature and the size of the cohorts is not adequate for clinical outcomes assessment.

Conclusion

The modest clinical benefit derived from supervised ET followed by maintenance home-based exercise that was identified within the overall HF-ACTION cohort was not significantly different when considering either etiology (ischemic vs nonischemic) and HF severity at baseline, when the latter was measured by risk model score or NYHA class. The finding that ET did show a more favorable effect for the end point of all-cause mortality or all-cause hospitalization when severity of illness was defined by exercise duration less than the median of 9.6 minutes during the baseline CPX test and the trend for a significant interaction between etiology and mortality

needs to be confirmed given the multiple analyses showing no interaction between severity or etiology and exercise intervention. Exercise training was safe for patients with HF with reduced LV function regardless of etiology or severity of symptoms. Consideration of symptomatic (NYHA classes II-IV) patients with HF with reduced LV function for participation in an ET program should be made independent of the cause of HF or the severity of the symptoms.

References

1. Lloyd-Jones D, Adams R, Carnethon M, et al. Heart disease and stroke statistics—2009 update: a report from the American Heart Association Statistics Committee and Stroke Statistics Subcommittee. *Circulation* 2009;119:e21-181.
2. Bart BA, Shaw LK, McCants Jr CB, et al. Clinical determinants of mortality in patients with angiographically diagnosed ischemic or nonischemic cardiomyopathy. *J Am Coll Cardiol* 1997;30:1002-8.

3. Zugck C, Kruger C, Kell R, et al. Risk stratification in middle-aged patients with congestive heart failure: prospective comparison of the Heart Failure Survival Score (HFSS) and a simplified two-variable model. *Eur J Heart Fail* 2001;3:577-85.
4. Levy WC, Mozaffarian D, Linker DT, et al. The Seattle Heart Failure Model: prediction of survival in heart failure. *Circulation* 2006;113: 1424-33.
5. Moss AJ, Zareba W, Hall WJ, et al. Prophylactic implantation of a defibrillator in patients with myocardial infarction and reduced ejection fraction. *N Engl J Med* 2002;346:877-83.
6. Bardy GH, Lee KL, Mark DB, et al. Amiodarone or an implantable cardioverter-defibrillator for congestive heart failure. *N Engl J Med* 2005;352:225-37.
7. Whellan DJ, O'Connor CM, Ousdigian KT, et al. Rationale, design, and baseline characteristics of a Program to Assess and Review Trending INformation and Evaluate CorRelation to Symptoms in Patients with Heart Failure (PARTNERS HF). *Am Heart J* 2008;156: 833-9, 839 e2.
8. Keteyian SJ, Brawner CA, Schairer JR, et al. Effects of exercise training on chronotropic incompetence in patients with heart failure. *Am Heart J* 1999;138:233-40.
9. Thompson PD. The benefits and risks of exercise training in patients with chronic coronary artery disease. *JAMA* 1988;259:1537-40.
10. Laslett L, Paumer L, Amsterdam EA. Exercise training in coronary artery disease. *Cardiol Clin* 1987;5:211-25.
11. Piepoli MF, Davos C, Francis DP, et al. Exercise training meta-analysis of trials in patients with chronic heart failure (ExTraMATCH). *BMJ* 2004;328:189.
12. O'Connor CM, Whellan DJ, Lee KL, et al. Efficacy and safety of exercise training in patients with chronic heart failure: HF-ACTION randomized controlled trial. *JAMA* 2009;301:1439-50.
13. O'Connor CM. Determinants of morbidity and mortality in chronic heart failure (CHF) with systolic dysfunction: results of the HF-ACTION predictive model. *J Am Coll Cardiol*; 2010;55:A28.E270 doi:10.1016/S0735-1097(10)60271-9. [Abstract].
14. Taylor RS, Brown A, Ebrahim S, et al. Exercise-based rehabilitation for patients with coronary heart disease: systematic review and meta-analysis of randomized controlled trials. *Am J Med* 2004;116: 682-92.
15. Siscovick DS, Weiss NS, Fletcher RH, et al. The incidence of primary cardiac arrest during vigorous exercise. *N Engl J Med* 1984;311: 874-7.
16. Mittleman MA, Maclure M, Tofler GH, et al. Triggering of acute myocardial infarction by heavy physical exertion. Protection against triggering by regular exertion. Determinants of Myocardial Infarction Onset Study Investigators. *N Engl J Med* 1993;329: 1677-83.
17. Willich SN, Lewis M, Lowel H, et al. Physical exertion as a trigger of acute myocardial infarction. Triggers and Mechanisms of Myocardial Infarction Study Group. *N Engl J Med* 1993;329:1684-90.
18. Wallen NH, Goodall AH, Li N, et al. Activation of haemostasis by exercise, mental stress and adrenaline: effects on platelet sensitivity to thrombin and thrombin generation. *Clin Sci (Lond)* 1999;97: 27-35.
19. Bartsch P. Platelet activation with exercise and risk of cardiac events. *Lancet* 1999;354:1747-8.